Received
Asst. Town Clerk
JUL 18 2022
3:02 P.M.

Town of Morris
Pension Commission
July 14, 2022

Mary Skilton, Commission Chair, called the regular meeting for the Pension Commission to order at 3:01 pm. Commission members David Hoffman and Maureen Fitzpatrick were present. John Pronovost of Main Street Financial Group LLC was present.

John Pronovost reviewed the quarterly report for the Town Pension. The quarterly report is attached.

Motion by Maureen Fitzpatrick, Second David Hoffman to proceed as John Pronovost of Main Street Financial recommended: Sell all $45k of New York Community Bank NYCB and purchase Webster Bank WBS. Motion passed unanimously.

Motion by Maureen Fitzpatrick, Second David Hoffman to proceed as John Pronovost of Main Street Financial recommended: Invest all cash $36.5K in Washington Mutual Investors Fund AWSHX. Motion passed unanimously.

Motion by David Hoffman, Second Maureen Fitzpatrick to proceed as John Pronovost of Main Street Financial recommended: Sell $100k of Short Duration Income LALDX and purchase $100k of Investment Company of America AIVSX. Motion passed unanimously.

Old Business:

Motion by Maureen Fitzpatrick, Second David Hoffman to accept the minutes from the April 28, 2021 Special Meeting. Motion passed unanimously.

New Business:

Motion by Maureen Fitzpatrick, Second David Hoffman move $10k to the investment group for the Morris Fire Company. Motion passed unanimously.

Meeting adjourned 3:31 PM.

Submitted by Richard Nicoletti, Treasurer as Clerk of Pension Commission

Received
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3:02 P.M.

# *Portfolio Review*
# *Prepared for*
# *Town of Morris Pension Fund*

*July 13, 2022*

*Presented By:*
*John Pronovost*
*Main Street Financial Group, LLC*
*777 Echo Lake Road; Suite J*
*Watertown, CT 06795*
*(860) 945-2633*

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## Town of Morris - Pension Funds

## Inception Summary - July 13, 2022

Assets Under Management

| Company | Date | Additions | Withdrawals | 7/13/2022 Value |
|---|---|---|---|---|
| **Fire Department:** | | | | |
| Cambridge Investment Research, Inc. | | | | |
| *Deposit* | 4/23/1998 | $5,000.00 | | |
| *Deposit* | 5/6/1998 | $29,718.35 | | |
| *Deposit* | 8/27/1998 | $2,000.00 | | |
| *Deposit* | 8/19/1999 | $4,000.00 | | |
| *Distribution* | 6/23/2000 | | $1,100.00 | |
| *Deposit* | 12/20/2002 | $1,950.00 | | |
| *Deposit* | 10/2/2003 | $4,000.00 | | |
| *Distribution* | 5/7/2004 | | $3,442.00 | |
| *Deposit* | 12/27/2004 | $5,000.00 | | |
| *Deposit* | 1/17/2006 | $3,000.00 | | |
| *Deposit* | 12/8/2008 | $5,000.00 | | |
| *Deposit* | 11/20/2009 | $3,000.00 | | |
| *Wire Distribution* | 2/2/2015 | | $10,000.00 | |
| *Distribution* | 8/2/2016 | | $1,500.00 | |
| *Distribution* | 11/15/2016 | | $3,500.00 | |
| *Wire Distribution* | 1/18/2018 | | $5,700.00 | |
| *Check Received* | 3/5/2018 | $19.50 | | |
| *Check Received* | 3/28/2018 | $3.50 | | |
| *Check Received* | 7/31/2018 | $6,815.00 | | |
| *Check Received* | 7/31/2018 | $5,700.00 | | |
| *Check Received* | 7/31/2019 | $5,000.00 | | |
| *Check Received* | 8/18/2020 | $3,650.00 | | |
| *Wire Distribution* | 3/29/2021 | | $156,610.98 | |
| *Check Paid* | 4/6/2021 | | $1.24 | |
| | | **$83,856.35** | **$181,854.22** | **$0.00** |

*Total Deposits To Main St. Financial Group:* **$83,856.35**

*Total Withdrawals:* **$181,854.22**

*Profit:* **$97,997.87**

***Total Assets for Fire Department Pension:*** ***$0.00***

| Company | Date | Additions | Withdrawals | 7/13/2022 Value |
|---|---|---|---|---|

## Town Pension:

**Cambridge Investment Research, Inc.**

*July 2021 Account Transferred In Full / In Kind to:*

**Infinex Financial Group**

| | | | | |
|---|---|---|---|---|
| *Deposit* | 4/23/1998 | $44,387.20 | | |
| *Deposit* | 5/6/1998 | $14,451.72 | | |
| *Deposit* | 8/13/1998 | $16,538.17 | | |
| *Deposit* | 8/27/1998 | $135,974.20 | | |
| *Deposit* | 9/16/1999 | $16,300.00 | | |
| *Deposit* | 10/30/2000 | $14,000.00 | | |
| *Deposit* | 12/17/2001 | $20,000.00 | | |
| *Deposit* | 12/20/2002 | $21,000.00 | | |
| *Deposit* | 10/2/2003 | $15,000.00 | | |
| *Deposit* | 12/27/2004 | $13,000.00 | | |
| *Deposit* | 1/17/2006 | $18,500.00 | | |
| *Deposit* | 12/8/2008 | $72,500.00 | | |
| *Deposit* | 11/20/2009 | $35,000.00 | | |
| *Deposit* | 1/24/2013 | $60,000.00 | | |
| *Wire Distribution* | 10/2/2015 | | $15,000.00 | |
| *Distribution* | 8/2/2016 | | $25,000.00 | |
| *Wire Distribution* | 1/18/2018 | | $47,000.00 | |
| *Check Received* | 3/5/2018 | $23.00 | | |
| *Check Received* | 7/31/2018 | $71,837.00 | | |
| *Check Received* | 7/31/2018 | $47,000.00 | | |
| *Check Received* | 7/31/2019 | $65,000.00 | | |
| *Check Received* | 8/18/2020 | $69,050.00 | | |
| *Distribution* | 3/31/2022 | | $30,000.00 | |
| | | **$749,561.29** | **$117,000.00** | **$1,462,893.96** |

| | |
|---|---|
| *Total Deposits To Main St. Financial Group:* | **$749,561.29** |
| *Total Withdrawals:* | **$117,000.00** |
| *Total Net Investments:* | **$632,561.29** |
| *Profit:* | **$830,332.67** |

***Total Assets for Town Pension:*** ***$1,462,893.96***

## Total Assets Under Management: *$1,462,893.96*

*This information has not been independently verified and should not be relied upon as a replacement for your custodial statement(s). This summary is not to be considered an official statement of your account(s) with Infinex Investments, Inc. In this regard, please refer to the confirmation notices and client statements received from Infinex Investments, Inc. and/or the individual product sponsor(s). Assets which are held outside of Infinex Investments, Inc. may not be covered by SIPC.*

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*John S. Pronovost*

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| Company/Account Transaction | Date | 6/30/2021 Value | Withdrawals | Deposits | Net Investment | 6/30/2022 Value | Unrealized Profit / Loss |
|---|---|---|---|---|---|---|---|

## *GRAND TOTAL:*

| | |
|---|---|
| *Beginning Value* | $1,716,532.50 |
| *Total Distributions* | $30,000.00 |
| *Total Additions* | $0.00 |
| *Total Net Investments* | $1,686,532.50 |
| *Total Current Value* | $1,463,977.75 |

***Total Profit/Loss*** ***($222,554.75)***
***(Realized & Unrealized)***

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# Town of Morris

## Transaction Summary

## Year to Date - July 13, 2022

| Company/Account Transaction | Date | 12/31/2021 Value | Withdrawals | Deposits | Net Investment | 7/13/2022 Value | Unrealized Profit / Loss |
|---|---|---|---|---|---|---|---|
| ***Pension Plan:*** | | | | | | | |
| ***Town of Morris*** | | | | | | | |
| **Infinex Investments** | | | | | | | |
| ***Beginning Value*** | **12/31/2021** | **$1,786,484.85** | | | | | |
| *Check Disbursement* | 3/31/2022 | | $30,000.00 | | | | |
| | | **$1,786,484.85** | $30,000.00 | $0.00 | $1,756,484.85 | **$1,462,893.96** | *($293,590.89)* |
| ***GRAND TOTAL:*** | | | | | | | |
| ***Beginning Value*** | | **$1,786,484.85** | | | | | |
| ***Total Distributions*** | | | **$30,000.00** | | | | |
| ***Total Additions*** | | | | **$0.00** | | | |
| ***Total Net Investments*** | | | | | **$1,756,484.85** | | |
| ***Total Current Value*** | | | | | | **$1,462,893.96** | |
| ***Total Profit/Loss*** *(Realized & Unrealized)* | | | | | | | ***($293,590.89)*** |

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*John S. Pronovost*

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MAIN STREET
*Financial Group, LLC*

## Holdings by Investor

Town of Morris

Prepared for: Town Of Morris Fire Company Pension Plan

As of: 7/13/22

### Town Of Morris Fire Company Pension Plan

**Account Name:** TOWN OF MORRIS PENSION FUND P O BOX 66 MORRIS CT 06763-0066

**Account Number:** 5DQ089150

**Account Type:** Outside Custodian-Qualified Plan

| ASSET | TICKER | INVESTMENT OBJECTIVE | MGT. NAME | QUANTITY | PRICE ($) | VALUE ($) |
|---|---|---|---|---|---|---|
| ADVISORS DISCIPLINED TR UT2037DISTPO204C | | LARGE VALUE | | 6,594.00 | 11.42 | 75,329.86 |
| ADVISORS DISCIPLINED TR UT2102DISTPO221R | | LARGE VALUE | | 16,632.62 | 8.15 | 135,539.19 |
| AMCAP FUND-A | AMCPX | LARGE GROWTH | AMERICAN FUNDS | 2,148.85 | 29.88 | 64,207.70 |
| AMERICAN MUTUAL FUND-A | AMRMX | LARGE VALUE | AMERICAN FUNDS | 1,281.89 | 47.79 | 61,261.38 |
| BROKERAGE MONEY MARKET | | CASH | BROKERAGE MONEY MARKET | 36,569.89 | 1.00 | 36,569.89 |
| FT UNIT 9882 CAPITALSTRENGTH BUY-WRITE PORT SER 61 SEMI-ANNUAL CASH | | DERIVATIVE INCOME | | 10,000.00 | 8.23 | 82,330.00 |
| FUNDAMENTAL INVESTORS-A | ANCFX | LARGE BLEND | AMERICAN FUNDS | 1,359.88 | 58.56 | 79,634.75 |
| INVESCO UNIT TRS UT2162CLOEN214CQ | | OPTIONS TRADING | | 9,454.00 | 8.45 | 79,848.48 |
| NEW PERSPECTIVE FUND-A | ANWPX | GLOBAL LARGE-STOCK GROWTH | AMERICAN FUNDS | 980.35 | 48.18 | 47,233.21 |
| NEW YORK CMNTY BANCORP INC COM | NYCB | MID-CAP VALUE | | 5,000.00 | 9.13 | 45,650.00 |
| QURATE RETAIL INC NT CAL 31 | QRTEP | SMALL CAP - VALUE | | 900.00 | 58.37 | 52,533.00 |
| SHORT DURATION INCOME A | LALDX | SHORT-TERM BOND | LORD, ABBETT & CO | 93,192.01 | 3.91 | 364,380.75 |
| THE GROWTH FUND OF AMERICA-A | AGTHX | LARGE GROWTH | AMERICAN FUNDS | 1,371.14 | 51.98 | 71,271.81 |
| THE INVESTMENT COMPANY OF AMERICA-A | AIVSX | LARGE BLEND | AMERICAN FUNDS | 1,313.88 | 40.43 | 53,120.33 |
| WASHINGTON MUTUAL INVESTORS FUND-A | AWSHX | LARGE BLEND | AMERICAN FUNDS | 4,259.23 | 50.24 | 213,983.61 |
| | | | | **Account Total:** | | **$1,462,893.96** |
| | | | | **Town Of Morris Fire Company Pension Plan Total:** | | **$1,462,893.96** |

[A] This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: John Pronovost

Created on: 7/14/22

## Disclosure

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Values are as of 07/13/2022. We believe the sources to be reliable, however, the accuracy and completeness of the information is not guaranteed. In the event of a discrepancy, the sponsor's valuation shall prevail.

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Performance data quoted represents past performance and does not guarantee future results. The investment return and principal of an investment will fluctuate so that an investor's shares when redeemed may be worth more or less than original cost. The values represented in this report may not reflect the true original cost of your initial investment.

Cost basis information may be incomplete or may not accurately reflect the methodology used by a particular client. Clients should consult with their tax advisor.

**For fee-based accounts only**: The data may or may not reflect the deduction of investment advisory fees. If the investment is being managed through a fee-based account or agreement, the returns may be reduced by those applicable advisory fees. The information contained in these reports is collected from sources believed to be reliable. However, you should always rely on the official statements received directly from the custodians. If you have any questions regarding this report, please call your representative.

[A] The source data for the following accounts was provided by Pershing:
5DQ089150

[A] This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: John Pronovost

Created on: 7/14/22

Infinex
FINANCIAL GROUP
Securities offered through Infinex Investments, Inc.

Infinex Investments, Inc.
538 Preston Avenue
Meriden, CT 06450
P: (800) 987-9299 F: (203) 599-6001

MAIN STREET
*Financial Group, LLC*

# Brokerage
## Account Statement

June 1, 2022 - June 30, 2022
Account Number: 5DQ-089150

TOWN OF MORRIS PENSION FUND
P O BOX 66
MORRIS CT 06763-0066

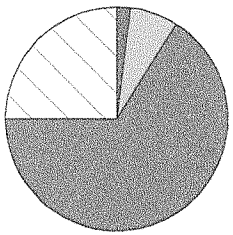
**Your Account Executive:**
JOHN PRONOVOST
(860) 945-2633

## Portfolio at a Glance

| | This Period |
|---|---|
| **BEGINNING ACCOUNT VALUE** | **$1,564,343.67** |
| Dividends, Interest and Other Income | 18,945.48 |
| **Net Change in Portfolio[1]** | -119,311.40 |
| **ENDING ACCOUNT VALUE** | **$1,463,977.75** |
| Estimated Annual Income | $38,683.59 |

[1] *Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.*

## Asset Summary



| Percent | Asset Type | Prior Year-End | Last Period | This Period |
|---|---|---|---|---|
| 2% | Cash, Money Funds, and Bank Deposits | 36,512.20 | 16,707.48 | 35,502.64 |
| 7% | Equities | 61,050.00 | 110,920.00 | 98,255.00 |
| 66% | Mutual Funds | 1,335,282.80 | 1,033,712.67 | 959,151.12 |
| 25% | Other Investments | 353,639.85 | 403,003.52 | 371,068.99 |
| **100%** | **Account Total (Pie Chart)** | **$1,786,484.85** | **$1,564,343.67** | **$1,463,977.75** |

Please review your allocation periodically with your Account Executive.

## Additional Information

| Description | This Period |
|---|---|
| Securities Bought and Sold | -$325.22 |
| Principal Payments | $174.90 |

## Summary of Gains and Losses

| | Realized This Period | Realized Year-to-Date | Unrealized |
|---|---|---|---|
| Short-Term Gain/Loss | 0.00 | -6,460.46 | -161,763.11 |
| Long-Term Gain/Loss | 0.00 | 59,571.02 | 158,457.60 |
| **Net Gain/Loss** | **0.00** | **53,110.56** | **-3,305.51** |

This summary excludes transactions where cost basis information is not available.



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## Client Service Information

**Your Account Executive:** TSE

JOHN PRONOVOST
INFINEX INVESTMENTS INC.
MAIN STREET FINANCIAL GROUP LLC
777 ECHO LAKE ROAD SUITE J
SUITE J
WATERTOWN CT 06795-6618

**Contact Information**

**Business:** (860) 945-2633

## Your Account Information

### INVESTMENT OBJECTIVE

Investment Objective: LONG TERM GROWTH
Risk Exposure: MODERATE RISK
Please review your investment objective. If you wish to make a change or have any questions please contact your Account Executive.

### TAX LOT DEFAULT DISPOSITION METHOD

| | |
|---|---|
| Default Method for Mutual Funds: | First In First Out |
| Default Method for Stocks in a Dividend Reinvestment Plan: | First In First Out |
| Default Method for all Other Securities: | First In First Out |

### BOND AMORTIZATION ELECTIONS

| | |
|---|---|
| Amortize premium on taxable bonds based on Constant Yield Method: | Yes |
| Accrual market discount method for all other bond types: | Constant Yield Method |
| Include market discount in income annually: | No |

### ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

**Electronic Delivery**

| Enrollment | Communication |
|---|---|
| ⊗ | Statements and Reports |
| ⊗ | Trade Confirmations |
| ⊗ | Tax Documents |
| ⊗ | Notifications |
| ⊗ | Prospectus |
| ⊗ | Proxy/Shareholder Communications |

You have not enrolled any of the above documents for electronic delivery. Please log in to your account or contact your Account Executive to make any changes to your electronic delivery preferences.





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## Portfolio Holdings

| Opening Date | Quantity | Account Number | Activity Ending | Opening Balance | Closing Balance | Accrued Income | Income This Year | 30-Day Yield | Current Yield |
|---|---|---|---|---|---|---|---|---|---|
| **CASH, MONEY FUNDS AND BANK DEPOSITS 2.00% of Portfolio** | | | | | | | | | |
| **Money Market** | | | | | | | | | |
| FEDERATED HERMES CAP RESERVES | | | | | | | | | |
| 06/01/22 | 35,502.6400 | N/A | 06/30/22 | 16,707.48 | 35,502.64 | 0.00 | 10.48 | 0.33% | 0.65% |
| **Total Money Market** | | | | $16,707.48 | $35,502.64 | $0.00 | $10.48 | | |
| **TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS** | | | | **$16,707.48** | **$35,502.64** | **$0.00** | **$10.48** | | |

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **EQUITIES 7.00% of Portfolio** | | | | | | | | |
| **Common Stocks** | | | | | | | | |
| NEW YORK CMNTY BANCORP INC COM | | | | Security Identifier: NYCB | | | | |
| Dividend Option: Cash | | | | CUSIP: 649445103 | | | | |
| 11/01/21 | 5,000.0000 | 12.6590 | 63,297.12 | 9.1300 | 45,650.00 | -17,647.12 | 3,400.00 | 7.44% |
| **Total Common Stocks** | | | $63,297.12 | | $45,650.00 | -$17,647.12 | $3,400.00 | |
| **Preferred Stocks** *(Listed by expiration date)* | | | | | | | | |
| QURATE RETAIL INC CUM RED PFD SER A 8% | | | | Security Identifier: QRTEP | | | | |
| Dividend Option: Cash | | | | CUSIP: 74915M308 | | | | |
| 01/13/22 | 900.0000 | 105.1150 | 94,603.37 | 58.4500 | 52,605.00 | -41,998.37 | 7,200.00 | 13.68% |
| **Total Preferred Stocks** | | | $94,603.37 | | $52,605.00 | -$41,998.37 | $7,200.00 | |
| **TOTAL EQUITIES** | | | **$157,900.49** | | **$98,255.00** | **-$59,645.49** | **$10,600.00** | |

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **MUTUAL FUNDS 66.00% of Portfolio** | | | | | | | | |
| AMCAP FUND CLASS A | | | | Security Identifier: AMCPX | | | | |
| Open End Fund | | | | CUSIP: 023375108 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 01/22/13*,3 | 778.6740 | 23.0830 | 17,973.89 | 29.7400 | 23,157.77 | 5,183.88 | | |
| 06/17/13*,3 | 24.5050 | 24.4000 | 597.92 | 29.7400 | 728.78 | 130.86 | | |
| 06/17/13*,3 | 3.5800 | 24.3990 | 87.35 | 29.7400 | 106.47 | 19.12 | | |
| 12/23/13*,3 | 83.9120 | 26.9500 | 2,261.43 | 29.7400 | 2,495.54 | 234.11 | | |
| 06/16/14*,3 | 45.1900 | 28.4300 | 1,284.76 | 29.7400 | 1,343.95 | 59.19 | | |
| 06/16/14*,3 | 0.2980 | 28.4230 | 8.47 | 29.7400 | 8.86 | 0.39 | | |
| 12/22/14*,3 | 87.4280 | 28.2700 | 2,471.60 | 29.7400 | 2,600.11 | 128.51 | | |
| 06/22/15*,3 | 51.2250 | 28.9500 | 1,482.95 | 29.7400 | 1,523.43 | 40.48 | | |



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## Portfolio Holdings *(continued)*

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** *(continued)* | | | | | | | | |
| AMCAP FUND CLASS A *(continued)* | | | | | | | | |
| 12/21/15*,3 | 83.8370 | 25.4900 | 2,137.00 | 29.7400 | 2,493.31 | 356.31 | | |
| 06/20/16*,3 | 33.3510 | 26.0400 | 868.46 | 29.7400 | 991.86 | 123.40 | | |
| 12/20/16*,3 | 24.9000 | 27.5200 | 685.26 | 29.7400 | 740.53 | 55.27 | | |
| 12/20/16*,3 | 7.4700 | 27.5210 | 205.58 | 29.7400 | 222.16 | 16.58 | | |
| 06/19/17*,3 | 25.6710 | 29.1700 | 748.83 | 29.7400 | 763.46 | 14.63 | | |
| 08/01/18*,3 | 898.8110 | 34.4940 | 31,003.50 | 29.7400 | 26,730.63 | -4,272.87 | | |
| Total Noncovered | 2,148.8520 | | 61,817.00 | | 63,906.86 | 2,089.86 | | |
| Total | 2,148.8520 | | $61,817.00 | | $63,906.86 | $2,089.86 | | |
| AMERICAN MUTUAL FUND CLASS A | | | | Security Identifier: AMRMX | | | | |
| Open End Fund | | | | CUSIP: 027681105 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 01/22/13*,3 | 1,025.2350 | 30.0630 | 30,821.26 | 48.3000 | 49,518.85 | 18,697.59 | 840.69 | 1.69% |
| 03/18/13*,3 | 7.1380 | 30.7590 | 219.56 | 48.3000 | 344.77 | 125.21 | 5.85 | 1.69% |
| 06/17/13*,3 | 5.3430 | 31.8810 | 170.34 | 48.3000 | 258.07 | 87.73 | 4.38 | 1.69% |
| 09/16/13*,3 | 5.3540 | 32.9490 | 176.41 | 48.3000 | 258.60 | 82.19 | 4.39 | 1.69% |
| 12/23/13*,3 | 21.5800 | 34.2700 | 739.54 | 48.3000 | 1,042.31 | 302.77 | 17.70 | 1.69% |
| 12/23/13*,3 | 5.4790 | 34.2670 | 187.75 | 48.3000 | 264.64 | 76.89 | 4.49 | 1.69% |
| 03/17/14*,3 | 5.2750 | 34.4870 | 181.92 | 48.3000 | 254.78 | 72.86 | 4.33 | 1.69% |
| 06/16/14*,3 | 5.1830 | 36.3110 | 188.20 | 48.3000 | 250.34 | 62.14 | 4.25 | 1.69% |
| 09/22/14*,3 | 5.3600 | 37.2970 | 199.91 | 48.3000 | 258.89 | 58.98 | 4.40 | 1.69% |
| 12/22/14*,3 | 37.2620 | 37.4200 | 1,394.36 | 48.3000 | 1,799.75 | 405.39 | 30.55 | 1.69% |
| 12/22/14*,3 | 6.2390 | 37.4230 | 233.48 | 48.3000 | 301.34 | 67.86 | 5.12 | 1.69% |
| 03/16/15*,3 | 5.7150 | 36.5620 | 208.95 | 48.3000 | 276.03 | 67.08 | 4.69 | 1.69% |
| 06/22/15*,3 | 5.6270 | 37.3220 | 210.01 | 48.3000 | 271.78 | 61.77 | 4.61 | 1.69% |
| 09/21/15*,3 | 6.1570 | 34.2780 | 211.05 | 48.3000 | 297.38 | 86.33 | 5.05 | 1.69% |
| 12/21/15*,3 | 50.1360 | 33.2400 | 1,666.51 | 48.3000 | 2,421.57 | 755.06 | 41.11 | 1.69% |
| 12/21/15*,3 | 7.0730 | 33.2420 | 235.12 | 48.3000 | 341.63 | 106.51 | 5.80 | 1.69% |
| 03/21/16*,3 | 6.4070 | 34.7700 | 222.77 | 48.3000 | 309.46 | 86.69 | 5.25 | 1.69% |
| 06/20/16*,3 | 6.2770 | 35.6780 | 223.95 | 48.3000 | 303.18 | 79.23 | 5.15 | 1.69% |
| 09/19/16*,3 | 6.1810 | 36.4210 | 225.12 | 48.3000 | 298.54 | 73.42 | 5.07 | 1.69% |
| 12/20/16*,3 | 33.3670 | 37.0200 | 1,235.25 | 48.3000 | 1,611.63 | 376.38 | 27.36 | 1.69% |
| 12/20/16*,3 | 6.1120 | 37.0190 | 226.26 | 48.3000 | 295.21 | 68.95 | 5.01 | 1.69% |
| 12/20/16*,3 | 0.9910 | 37.0230 | 36.69 | 48.3000 | 47.87 | 11.18 | 0.81 | 1.69% |
| 03/20/17*,3 | 6.2140 | 38.6320 | 240.06 | 48.3000 | 300.14 | 60.08 | 5.10 | 1.69% |
| 06/19/17*,3 | 6.1560 | 39.1880 | 241.24 | 48.3000 | 297.34 | 56.10 | 5.05 | 1.69% |
| 09/18/17*,3 | 6.0260 | 40.2270 | 242.41 | 48.3000 | 291.04 | 48.63 | 4.93 | 1.69% |
| Total Noncovered | 1,281.8870 | | 39,938.12 | | 61,915.14 | 21,977.02 | 1,051.14 | |
| Total | 1,281.8870 | | $39,938.12 | | $61,915.14 | $21,977.02 | $1,051.14 | |





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## Portfolio Holdings *(continued)*

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** *(continued)* | | | | | | | | |
| FUNDAMENTAL INVESTORS CLASS A | | | | Security Identifier: ANCFX | | | | |
| Open End Fund | | | | CUSIP: 360802102 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 09/11/08*,3 | 291.7110 | 35.0600 | 10,227.39 | 58.7500 | 17,138.02 | 6,910.63 | 198.36 | 1.15% |
| 12/17/08*,3 | 5.9040 | 25.3220 | 149.50 | 58.7500 | 346.86 | 197.36 | 4.01 | 1.15% |
| 12/17/08*,3 | 4.9210 | 25.3180 | 124.59 | 58.7500 | 289.11 | 164.52 | 3.35 | 1.15% |
| 02/23/09*,3 | 6.8450 | 22.0310 | 150.80 | 58.7500 | 402.14 | 251.34 | 4.65 | 1.15% |
| 05/27/09*,3 | 5.6320 | 26.9210 | 151.62 | 58.7500 | 330.88 | 179.26 | 3.83 | 1.15% |
| 08/17/09*,3 | 5.1960 | 29.3110 | 152.30 | 58.7500 | 305.27 | 152.97 | 3.53 | 1.15% |
| 12/16/09*,3 | 4.7080 | 32.4810 | 152.92 | 58.7500 | 276.59 | 123.67 | 3.20 | 1.15% |
| 03/08/10*,3 | 4.6160 | 33.2520 | 153.49 | 58.7500 | 271.19 | 117.70 | 3.14 | 1.15% |
| 06/10/10*,3 | 5.0640 | 30.4190 | 154.04 | 58.7500 | 297.51 | 143.47 | 3.44 | 1.15% |
| 09/16/10*,3 | 4.6990 | 32.9110 | 154.65 | 58.7500 | 276.07 | 121.42 | 3.20 | 1.15% |
| 12/16/10*,3 | 4.3070 | 36.0370 | 155.21 | 58.7500 | 253.04 | 97.83 | 2.93 | 1.15% |
| 12/16/10*,3 | 3.2300 | 36.0400 | 116.41 | 58.7500 | 189.76 | 73.35 | 2.20 | 1.15% |
| 03/07/11*,3 | 4.0270 | 38.7680 | 156.12 | 58.7500 | 236.59 | 80.47 | 2.74 | 1.15% |
| 06/09/11*,3 | 4.1570 | 37.6710 | 156.60 | 58.7500 | 244.22 | 87.62 | 2.83 | 1.15% |
| 09/15/11*,3 | 4.8010 | 34.0870 | 163.65 | 58.7500 | 282.06 | 118.41 | 3.26 | 1.15% |
| 12/16/11*,3 | 9.6390 | 34.0790 | 328.49 | 58.7500 | 566.29 | 237.80 | 6.55 | 1.15% |
| 03/19/12*,3 | 4.1980 | 39.4120 | 165.45 | 58.7500 | 246.63 | 81.18 | 2.85 | 1.15% |
| 06/14/12*,3 | 4.5610 | 36.3910 | 165.98 | 58.7500 | 267.96 | 101.98 | 3.10 | 1.15% |
| 09/13/12*,3 | 4.1550 | 40.0840 | 166.55 | 58.7500 | 244.11 | 77.56 | 2.83 | 1.15% |
| 12/20/12*,3 | 8.9710 | 40.9710 | 367.55 | 58.7500 | 527.05 | 159.50 | 6.10 | 1.15% |
| 03/18/13*,3 | 3.8050 | 44.2020 | 168.19 | 58.7500 | 223.54 | 55.35 | 2.59 | 1.15% |
| 06/13/13*,3 | 2.5240 | 45.8080 | 115.62 | 58.7500 | 148.28 | 32.66 | 1.72 | 1.15% |
| 09/13/13*,3 | 2.4190 | 47.9250 | 115.93 | 58.7500 | 142.12 | 26.19 | 1.64 | 1.15% |
| 12/19/13*,3 | 16.7690 | 50.7390 | 850.85 | 58.7500 | 985.18 | 134.33 | 11.40 | 1.15% |
| 12/19/13*,3 | 5.0400 | 50.7380 | 255.72 | 58.7500 | 296.10 | 40.38 | 3.43 | 1.15% |
| 03/17/14*,3 | 9.3400 | 50.9480 | 475.85 | 58.7500 | 548.73 | 72.88 | 6.35 | 1.15% |
| 03/17/14*,3 | 2.4280 | 50.9560 | 123.72 | 58.7500 | 142.65 | 18.93 | 1.65 | 1.15% |
| 06/13/14*,3 | 2.4390 | 53.3290 | 130.07 | 58.7500 | 143.29 | 13.22 | 1.66 | 1.15% |
| 09/18/14*,3 | 2.5520 | 54.8820 | 140.06 | 58.7500 | 149.93 | 9.87 | 1.74 | 1.15% |
| 12/23/14*,3 | 56.5750 | 52.4500 | 2,967.36 | 58.7500 | 3,323.78 | 356.42 | 38.47 | 1.15% |
| 12/23/14*,3 | 10.1550 | 52.4520 | 532.65 | 58.7500 | 596.61 | 63.96 | 6.91 | 1.15% |



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## Portfolio Holdings *(continued)*

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** *(continued)* | | | | | | | | |
| FUNDAMENTAL INVESTORS CLASS A *(continued)* | | | | | | | | |
| 03/16/15*,3 | 12.1760 | 52.0320 | 633.54 | 58.7500 | 715.34 | 81.80 | 8.28 | 1.15% |
| 03/16/15*,3 | 2.9840 | 52.0380 | 155.28 | 58.7500 | 175.31 | 20.03 | 2.03 | 1.15% |
| 06/18/15*,3 | 2.9430 | 53.5340 | 157.55 | 58.7500 | 172.90 | 15.35 | 2.00 | 1.15% |
| 09/17/15*,3 | 3.1120 | 50.7680 | 157.99 | 58.7500 | 182.83 | 24.84 | 2.12 | 1.15% |
| 12/23/15*,3 | 35.6380 | 50.5700 | 1,802.23 | 58.7500 | 2,093.73 | 291.50 | 24.23 | 1.15% |
| 12/23/15*,3 | 6.6010 | 50.5710 | 333.82 | 58.7500 | 387.81 | 53.99 | 4.49 | 1.15% |
| 03/17/16*,3 | 3.2850 | 50.1670 | 164.80 | 58.7500 | 192.99 | 28.19 | 2.23 | 1.15% |
| 06/16/16*,3 | 8.8100 | 51.2810 | 451.79 | 58.7500 | 517.59 | 65.80 | 5.99 | 1.15% |
| 06/16/16*,3 | 3.2230 | 51.2850 | 165.29 | 58.7500 | 189.35 | 24.06 | 2.19 | 1.15% |
| 09/19/16*,3 | 3.2630 | 52.9140 | 172.66 | 58.7500 | 191.70 | 19.04 | 2.22 | 1.15% |
| 12/22/16*,3 | 26.1150 | 55.1400 | 1,439.99 | 58.7500 | 1,534.26 | 94.27 | 17.76 | 1.15% |
| 12/22/16*,3 | 5.2070 | 55.1430 | 287.13 | 58.7500 | 305.91 | 18.78 | 3.54 | 1.15% |
| 12/22/16*,3 | 3.1410 | 55.1320 | 173.17 | 58.7500 | 184.53 | 11.36 | 2.14 | 1.15% |
| 03/16/17*,3 | 3.0770 | 58.0140 | 178.51 | 58.7500 | 180.77 | 2.26 | 2.09 | 1.15% |
| 06/15/17*,3 | 12.5220 | 59.3890 | 743.67 | 58.7500 | 735.67 | -8.00 | 8.51 | 1.15% |
| 06/15/17*,3 | 3.0140 | 59.3860 | 178.99 | 58.7500 | 177.07 | -1.92 | 2.05 | 1.15% |
| 09/18/17*,3 | 3.0610 | 61.1730 | 187.25 | 58.7500 | 179.83 | -7.42 | 2.08 | 1.15% |
| 01/18/19*,3 | 716.3230 | 55.6500 | 39,863.36 | 58.7500 | 42,083.98 | 2,220.62 | 487.11 | 1.15% |
| Total Noncovered | 1,359.8830 | | 66,636.35 | | 79,893.13 | 13,256.78 | 924.72 | |
| Total | 1,359.8830 | | $66,636.35 | | $79,893.13 | $13,256.78 | $924.72 | |
| THE GROWTH FUND OF AMERICA CLASS A | | | | Security Identifier: AGTHX | | | | |
| Open End Fund | | | | CUSIP: 399874106 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 12/05/08*,3 | 299.5710 | 20.2340 | 6,061.38 | 51.7700 | 15,508.79 | 9,447.41 | 19.35 | 0.12% |
| 12/23/08*,3 | 65.1150 | 19.8200 | 1,290.58 | 51.7700 | 3,371.00 | 2,080.42 | 4.21 | 0.12% |
| 12/22/09*,3 | 38.2420 | 27.1500 | 1,038.27 | 51.7700 | 1,979.79 | 941.52 | 2.47 | 0.12% |
| 12/22/10*,3 | 35.4910 | 30.3400 | 1,076.79 | 51.7700 | 1,837.37 | 760.58 | 2.29 | 0.12% |
| 12/21/11*,3 | 22.9960 | 28.4600 | 654.46 | 51.7700 | 1,190.50 | 536.04 | 1.49 | 0.12% |
| 12/20/12*,3 | 24.7020 | 34.5000 | 852.23 | 51.7700 | 1,278.82 | 426.59 | 1.60 | 0.12% |
| 12/19/13*,3 | 165.6610 | 41.9600 | 6,951.14 | 51.7700 | 8,576.27 | 1,625.13 | 10.70 | 0.12% |
| 12/19/13*,3 | 8.2890 | 41.9600 | 347.81 | 51.7700 | 429.12 | 81.31 | 0.54 | 0.12% |
| 12/18/14*,3 | 262.7700 | 41.7400 | 10,968.00 | 51.7700 | 13,603.60 | 2,635.60 | 16.97 | 0.12% |
| 12/18/14*,3 | 10.8810 | 41.7410 | 454.18 | 51.7700 | 563.31 | 109.13 | 0.70 | 0.12% |
| 12/23/15*,3 | 245.5120 | 41.1200 | 10,095.44 | 51.7700 | 12,710.16 | 2,614.72 | 15.86 | 0.12% |
| 12/23/15*,3 | 19.7290 | 41.1210 | 811.27 | 51.7700 | 1,021.37 | 210.10 | 1.27 | 0.12% |
| 12/22/16*,3 | 156.6690 | 42.6300 | 6,678.82 | 51.7700 | 8,110.75 | 1,431.93 | 10.12 | 0.12% |
| 12/22/16*,3 | 15.5110 | 42.6300 | 661.23 | 51.7700 | 803.02 | 141.79 | 1.00 | 0.12% |
| Total Noncovered | 1,371.1390 | | 47,941.60 | | 70,983.87 | 23,042.27 | 88.57 | |
| Total | 1,371.1390 | | $47,941.60 | | $70,983.87 | $23,042.27 | $88.57 | |





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## Portfolio Holdings *(continued)*

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** *(continued)* | | | | | | | | |
| THE INVESTMENT COMPANY OF AMERICA CLASS A | | | | Security Identifier: AIVSX | | | | |
| Open End Fund | | | | CUSIP: 461308108 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 12/05/08*,3 | 797.3640 | 20.7630 | 16,555.85 | 40.8900 | 32,604.22 | 16,048.37 | 494.36 | 1.51% |
| 12/19/08*,3 | 8.9660 | 20.5500 | 184.25 | 40.8900 | 366.62 | 182.37 | 5.56 | 1.51% |
| 03/09/09*,3 | 11.1040 | 16.7300 | 185.77 | 40.8900 | 454.04 | 268.27 | 6.88 | 1.51% |
| 06/08/09*,3 | 6.5000 | 22.0780 | 143.51 | 40.8900 | 265.78 | 122.27 | 4.03 | 1.51% |
| 09/04/09*,3 | 6.1500 | 23.4720 | 144.35 | 40.8900 | 251.47 | 107.12 | 3.81 | 1.51% |
| 12/24/09*,3 | 5.5660 | 26.0780 | 145.15 | 40.8900 | 227.59 | 82.44 | 3.45 | 1.51% |
| 12/24/09*,3 | 0.4280 | 26.0980 | 11.17 | 40.8900 | 17.50 | 6.33 | 0.27 | 1.51% |
| 03/08/10*,3 | 5.5930 | 26.0920 | 145.93 | 40.8900 | 228.70 | 82.77 | 3.47 | 1.51% |
| 06/14/10*,3 | 5.9590 | 24.6120 | 146.66 | 40.8900 | 243.66 | 97.00 | 3.69 | 1.51% |
| 09/20/10*,3 | 5.7750 | 25.5290 | 147.43 | 40.8900 | 236.14 | 88.71 | 3.58 | 1.51% |
| 12/27/10*,3 | 5.2680 | 28.1280 | 148.18 | 40.8900 | 215.41 | 67.23 | 3.27 | 1.51% |
| 12/27/10*,3 | 1.6210 | 28.1250 | 45.59 | 40.8900 | 66.28 | 20.69 | 1.01 | 1.51% |
| 03/07/11*,3 | 5.1070 | 29.1910 | 149.08 | 40.8900 | 208.83 | 59.75 | 3.17 | 1.51% |
| 06/13/11*,3 | 5.3520 | 27.9780 | 149.74 | 40.8900 | 218.84 | 69.10 | 3.32 | 1.51% |
| 09/19/11*,3 | 5.7140 | 26.3280 | 150.44 | 40.8900 | 233.65 | 83.21 | 3.54 | 1.51% |
| 12/23/11*,3 | 8.1950 | 26.9620 | 220.95 | 40.8900 | 335.09 | 114.14 | 5.08 | 1.51% |
| 03/15/12*,3 | 5.1100 | 29.7930 | 152.24 | 40.8900 | 208.95 | 56.71 | 3.17 | 1.51% |
| 06/11/12*,3 | 5.3970 | 28.3320 | 152.91 | 40.8900 | 220.68 | 67.77 | 3.35 | 1.51% |
| 09/17/12*,3 | 4.9140 | 31.2600 | 153.61 | 40.8900 | 200.93 | 47.32 | 3.05 | 1.51% |
| 12/24/12*,3 | 16.5010 | 30.2010 | 498.34 | 40.8900 | 674.73 | 176.39 | 10.23 | 1.51% |
| 12/24/12*,3 | 12.9660 | 30.1990 | 391.56 | 40.8900 | 530.18 | 138.62 | 8.04 | 1.51% |
| 03/14/13*,3 | 4.8870 | 32.3470 | 158.08 | 40.8900 | 199.83 | 41.75 | 3.03 | 1.51% |
| 06/14/13*,3 | 3.8150 | 34.2910 | 130.82 | 40.8900 | 156.00 | 25.18 | 2.37 | 1.51% |
| 09/16/13*,3 | 3.6670 | 35.8220 | 131.36 | 40.8900 | 149.94 | 18.58 | 2.27 | 1.51% |
| 12/24/13*,3 | 65.2200 | 36.2500 | 2,364.22 | 40.8900 | 2,666.85 | 302.63 | 40.44 | 1.51% |
| 12/24/13*,3 | 5.7160 | 36.2530 | 207.22 | 40.8900 | 233.73 | 26.51 | 3.54 | 1.51% |
| 03/17/14*,3 | 3.8770 | 36.5750 | 141.80 | 40.8900 | 158.53 | 16.73 | 2.40 | 1.51% |
| 06/16/14*,3 | 3.7710 | 39.0960 | 147.43 | 40.8900 | 154.20 | 6.77 | 2.34 | 1.51% |
| 09/22/14*,3 | 3.6600 | 40.4290 | 147.97 | 40.8900 | 149.66 | 1.69 | 2.27 | 1.51% |
| 12/24/14*,3 | 91.4380 | 37.4100 | 3,420.70 | 40.8900 | 3,738.90 | 318.20 | 56.69 | 1.51% |
| 12/24/14*,3 | 8.0760 | 37.4110 | 302.13 | 40.8900 | 330.23 | 28.10 | 5.01 | 1.51% |



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## Portfolio Holdings *(continued)*

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** *(continued)* | | | | | | | | |
| THE INVESTMENT COMPANY OF AMERICA *(continued)* | | | | | | | | |
| 03/16/15*,3 | 8.0770 | 36.4490 | 294.40 | 40.8900 | 330.27 | 35.87 | 5.01 | 1.51% |
| 03/16/15*,3 | 4.4700 | 36.4500 | 162.93 | 40.8900 | 182.78 | 19.85 | 2.77 | 1.51% |
| 06/22/15*,3 | 4.3560 | 37.8210 | 164.75 | 40.8900 | 178.12 | 13.37 | 2.70 | 1.51% |
| 09/21/15*,3 | 4.7480 | 34.8320 | 165.38 | 40.8900 | 194.15 | 28.77 | 2.94 | 1.51% |
| 12/21/15*,3 | 78.8310 | 32.6900 | 2,576.99 | 40.8900 | 3,223.40 | 646.41 | 48.87 | 1.51% |
| 12/21/15*,3 | 6.4820 | 32.6890 | 211.89 | 40.8900 | 265.05 | 53.16 | 4.02 | 1.51% |
| 03/21/16*,3 | 5.2180 | 34.1970 | 178.44 | 40.8900 | 213.36 | 34.92 | 3.24 | 1.51% |
| 06/20/16*,3 | 5.1230 | 34.9800 | 179.20 | 40.8900 | 209.48 | 30.28 | 3.18 | 1.51% |
| 09/19/16*,3 | 5.0090 | 35.9230 | 179.94 | 40.8900 | 204.82 | 24.88 | 3.11 | 1.51% |
| 12/20/16*,3 | 44.3660 | 36.5100 | 1,619.79 | 40.8900 | 1,814.13 | 194.34 | 27.51 | 1.51% |
| 12/20/16*,3 | 5.1190 | 36.5110 | 186.90 | 40.8900 | 209.32 | 22.42 | 3.17 | 1.51% |
| 12/20/16*,3 | 3.4130 | 36.5070 | 124.60 | 40.8900 | 139.56 | 14.96 | 2.12 | 1.51% |
| 03/20/17*,3 | 5.0740 | 38.3980 | 194.83 | 40.8900 | 207.48 | 12.65 | 3.15 | 1.51% |
| 06/19/17*,3 | 5.0200 | 38.9620 | 195.59 | 40.8900 | 205.27 | 9.68 | 3.11 | 1.51% |
| 09/18/17*,3 | 4.9010 | 40.0630 | 196.35 | 40.8900 | 200.37 | 4.02 | 3.01 | 1.51% |
| Total Noncovered | 1,313.8840 | | 33,806.42 | | 53,724.72 | 19,918.30 | 814.60 | |
| Total | 1,313.8840 | | $33,806.42 | | $53,724.72 | $19,918.30 | $814.60 | |
| LORD ABBETT SHORT DURATION INCOME FUND CLASS A | | | | Security Identifier: LALDX | | | | |
| Open End Fund | | | | CUSIP: 543916100 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 07/20/21 | 73,430.1020 | 4.2000 | 308,407.91 | 3.9200 | 287,846.00 | -20,561.91 | 7,167.43 | 2.49% |
| 08/16/21 | 19,761.9050 | 4.2000 | 83,002.00 | 3.9200 | 77,466.67 | -5,535.33 | 1,928.94 | 2.49% |
| Total Covered | 93,192.0070 | | 391,409.91 | | 365,312.67 | -26,097.24 | 9,096.37 | |
| Total | 93,192.0070 | | $391,409.91 | | $365,312.67 | -$26,097.24 | $9,096.37 | |
| NEW PERSPECTIVE FUND CLASS A | | | | Security Identifier: ANWPX | | | | |
| Open End Fund | | | | CUSIP: 648018109 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 09/11/08*,3 | 633.3360 | 27.5700 | 17,461.08 | 48.3800 | 30,640.79 | 13,179.71 | 139.90 | 0.45% |
| 12/24/08*,3 | 85.0160 | 18.1400 | 1,542.19 | 48.3800 | 4,113.07 | 2,570.88 | 18.78 | 0.45% |
| 12/24/08*,3 | 29.6290 | 18.1400 | 537.47 | 48.3800 | 1,433.45 | 895.98 | 6.54 | 0.45% |
| 12/23/09*,3 | 13.0690 | 25.4400 | 332.48 | 48.3800 | 632.28 | 299.80 | 2.89 | 0.45% |
| 12/28/10*,3 | 11.2330 | 28.4800 | 319.92 | 48.3800 | 543.45 | 223.53 | 2.48 | 0.45% |
| 12/28/11*,3 | 8.4010 | 26.1990 | 220.10 | 48.3800 | 406.44 | 186.34 | 1.86 | 0.45% |
| 12/27/12*,3 | 8.3200 | 31.0590 | 258.41 | 48.3800 | 402.52 | 144.11 | 1.84 | 0.45% |
| 12/27/13*,3 | 37.1100 | 37.2500 | 1,382.34 | 48.3800 | 1,795.38 | 413.04 | 8.20 | 0.45% |
| 12/27/13*,3 | 6.3540 | 37.2520 | 236.70 | 48.3800 | 307.41 | 70.71 | 1.40 | 0.45% |
| 12/29/14*,3 | 52.0150 | 36.6500 | 1,906.35 | 48.3800 | 2,516.49 | 610.14 | 11.49 | 0.45% |
| 12/29/14*,3 | 5.2240 | 36.6520 | 191.47 | 48.3800 | 252.74 | 61.27 | 1.15 | 0.45% |
| 12/24/15*,3 | 47.8870 | 36.2300 | 1,734.93 | 48.3800 | 2,316.77 | 581.84 | 10.58 | 0.45% |





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## Portfolio Holdings *(continued)*

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** *(continued)* | | | | | | | | |
| NEW PERSPECTIVE FUND CLASS A *(continued)* | | | | | | | | |
| 12/24/15*,3 | 6.3870 | 36.2310 | 231.41 | 48.3800 | 309.00 | 77.59 | 1.41 | 0.45% |
| 12/23/16*,3 | 28.6440 | 35.4410 | 1,015.16 | 48.3800 | 1,385.80 | 370.64 | 6.33 | 0.45% |
| 12/23/16*,3 | 7.7240 | 35.4410 | 273.75 | 48.3800 | 373.69 | 99.94 | 1.70 | 0.45% |
| Total Noncovered | 980.3490 | | 27,643.76 | | 47,429.28 | 19,785.52 | 216.55 | |
| Total | 980.3490 | | $27,643.76 | | $47,429.28 | $19,785.52 | $216.55 | |
| WASHINGTON MUTUAL INVESTORS FUND CLASS A | | | | Security Identifier: AWSHX | | | | |
| Open End Fund | | | | CUSIP: 939330106 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 12/05/08*,3 | 1,120.2770 | 22.0230 | 24,671.37 | 50.7100 | 56,809.25 | 32,137.88 | 918.63 | 1.61% |
| 12/22/08*,3 | 98.0920 | 20.9700 | 2,056.98 | 50.7100 | 4,974.24 | 2,917.26 | 80.44 | 1.61% |
| 12/22/08*,3 | 35.6700 | 20.9700 | 747.99 | 50.7100 | 1,808.83 | 1,060.84 | 29.25 | 1.61% |
| 03/23/09*,3 | 43.6690 | 17.6800 | 772.07 | 50.7100 | 2,214.46 | 1,442.39 | 35.81 | 1.61% |
| 06/22/09*,3 | 36.7200 | 20.6500 | 758.27 | 50.7100 | 1,862.07 | 1,103.80 | 30.11 | 1.61% |
| 09/28/09*,3 | 33.3930 | 22.9000 | 764.69 | 50.7100 | 1,693.36 | 928.67 | 27.38 | 1.61% |
| 12/21/09*,3 | 27.1410 | 24.4600 | 663.87 | 50.7100 | 1,376.32 | 712.45 | 22.26 | 1.61% |
| 03/22/10*,3 | 22.5990 | 25.3600 | 573.10 | 50.7100 | 1,146.00 | 572.90 | 18.53 | 1.61% |
| 06/21/10*,3 | 23.6650 | 24.3600 | 576.49 | 50.7100 | 1,200.05 | 623.56 | 19.41 | 1.61% |
| 09/27/10*,3 | 22.8450 | 25.3900 | 580.04 | 50.7100 | 1,158.47 | 578.43 | 18.73 | 1.61% |
| 12/20/10*,3 | 18.0430 | 27.0100 | 487.35 | 50.7100 | 914.96 | 427.61 | 14.80 | 1.61% |
| 12/20/10*,3 | 8.4200 | 27.0110 | 227.43 | 50.7100 | 426.98 | 199.55 | 6.90 | 1.61% |
| 03/21/11*,3 | 18.3290 | 27.6990 | 507.70 | 50.7100 | 929.46 | 421.76 | 15.03 | 1.61% |
| 06/20/11*,3 | 18.1620 | 28.1100 | 510.54 | 50.7100 | 920.99 | 410.45 | 14.89 | 1.61% |
| 09/26/11*,3 | 20.1240 | 25.5100 | 513.36 | 50.7100 | 1,020.49 | 507.13 | 16.50 | 1.61% |
| 12/19/11*,3 | 27.3020 | 27.4600 | 749.72 | 50.7100 | 1,384.48 | 634.76 | 22.39 | 1.61% |
| 03/26/12*,3 | 18.3730 | 30.1690 | 554.30 | 50.7100 | 931.69 | 377.39 | 15.07 | 1.61% |
| 06/18/12*,3 | 18.8930 | 29.4990 | 557.33 | 50.7100 | 958.06 | 400.73 | 15.49 | 1.61% |
| 09/24/12*,3 | 17.7250 | 31.6190 | 560.45 | 50.7100 | 898.83 | 338.38 | 14.53 | 1.61% |
| 12/24/12*,3 | 24.5210 | 31.3300 | 768.24 | 50.7100 | 1,243.46 | 475.22 | 20.11 | 1.61% |
| 03/25/13*,3 | 17.8210 | 33.7700 | 601.81 | 50.7100 | 903.70 | 301.89 | 14.61 | 1.61% |
| 06/24/13*,3 | 13.8280 | 35.0500 | 484.67 | 50.7100 | 701.22 | 216.55 | 11.34 | 1.61% |
| 09/23/13*,3 | 12.9890 | 37.5000 | 487.09 | 50.7100 | 658.67 | 171.58 | 10.65 | 1.61% |
| 12/23/13*,3 | 56.5610 | 38.8100 | 2,195.14 | 50.7100 | 2,868.21 | 673.07 | 46.38 | 1.61% |
| 12/23/13*,3 | 23.7770 | 38.8110 | 922.80 | 50.7100 | 1,205.73 | 282.93 | 19.50 | 1.61% |

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** *(continued)* | | | | | | | | |
| WASHINGTON MUTUAL INVESTORS FUND *(continued)* | | | | | | | | |
| 03/24/14*,3 | 13.0460 | 39.6900 | 517.80 | 50.7100 | 661.56 | 143.76 | 10.70 | 1.61% |
| 06/23/14*,3 | 12.4440 | 41.7990 | 520.15 | 50.7100 | 631.04 | 110.89 | 10.20 | 1.61% |
| 09/22/14*,3 | 12.2250 | 42.7310 | 522.39 | 50.7100 | 619.93 | 97.54 | 10.02 | 1.61% |
| 12/22/14*,3 | 145.0460 | 41.0700 | 5,957.05 | 50.7100 | 7,355.28 | 1,398.23 | 118.94 | 1.61% |
| 12/22/14*,3 | 21.2890 | 41.0690 | 874.32 | 50.7100 | 1,079.56 | 205.24 | 17.46 | 1.61% |
| 03/23/15*,3 | 13.6410 | 41.7810 | 569.94 | 50.7100 | 691.73 | 121.79 | 11.19 | 1.61% |
| 06/22/15*,3 | 13.8980 | 41.1900 | 572.46 | 50.7100 | 704.77 | 132.31 | 11.40 | 1.61% |
| 09/21/15*,3 | 15.1360 | 37.9910 | 575.03 | 50.7100 | 767.55 | 192.52 | 12.41 | 1.61% |
| 12/21/15*,3 | 131.4690 | 37.6800 | 4,953.74 | 50.7100 | 6,666.79 | 1,713.05 | 107.80 | 1.61% |
| 12/21/15*,3 | 21.5520 | 37.6800 | 812.09 | 50.7100 | 1,092.90 | 280.81 | 17.67 | 1.61% |
| 03/21/16*,3 | 16.0030 | 38.9000 | 622.52 | 50.7100 | 811.51 | 188.99 | 13.12 | 1.61% |
| 06/20/16*,3 | 12.8090 | 39.5090 | 506.07 | 50.7100 | 649.54 | 143.47 | 10.50 | 1.61% |
| 09/19/16*,3 | 12.6560 | 40.1790 | 508.50 | 50.7100 | 641.79 | 133.29 | 10.38 | 1.61% |
| 12/20/16*,3 | 118.6790 | 41.3500 | 4,907.38 | 50.7100 | 6,018.21 | 1,110.83 | 97.32 | 1.61% |
| 12/20/16*,3 | 12.6810 | 41.3490 | 524.35 | 50.7100 | 643.05 | 118.70 | 10.40 | 1.61% |
| 12/20/16*,3 | 1.3010 | 41.3370 | 53.78 | 50.7100 | 65.97 | 12.19 | 1.07 | 1.61% |
| 03/20/17*,3 | 12.8140 | 42.9390 | 550.22 | 50.7100 | 649.80 | 99.58 | 10.51 | 1.61% |
| 06/19/17*,3 | 69.3030 | 42.7400 | 2,962.00 | 50.7100 | 3,514.36 | 552.36 | 56.83 | 1.61% |
| 06/19/17*,3 | 12.9320 | 42.7400 | 552.72 | 50.7100 | 655.78 | 103.06 | 10.60 | 1.61% |
| 09/18/17*,3 | 10.8610 | 43.9490 | 477.33 | 50.7100 | 550.76 | 73.43 | 8.91 | 1.61% |
| Total Noncovered | 2,458.7240 | | 69,832.64 | | 124,681.86 | 54,849.22 | 2,016.17 | |
| 05/09/22 | 1,800.5040 | 55.5410 | 100,002.00 | 50.7100 | 91,303.59 | -8,698.41 | 1,476.39 | 1.61% |
| Total Covered | 1,800.5040 | | 100,002.00 | | 91,303.59 | -8,698.41 | 1,476.39 | |
| Total | 4,259.2280 | | $169,834.64 | | $215,985.45 | $46,150.81 | $3,492.56 | |
| **TOTAL MUTUAL FUNDS** | | | **$839,027.80** | | **$959,151.12** | **$120,123.32** | **$15,684.51** | |

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **OTHER INVESTMENTS 25.00% of Portfolio** | | | | | | | | |
| **Unit Investment Trusts** | | | | | | | | |
| ADVISORS DISCIPLINED TR UNIT 2102 DIVID STRENGTH PORT SER 2022-1 - A HARTFORD INVT MGMT CO HIMCO PORT REINVEST Dividend Option: Cash; Capital Gains Option: Cash | | | Security Identifier: 00777T271 | | | | | |
| 03/25/22* | 36.8305 | 9.1740 | 337.89 | 8.1870 | 301.53 | -36.36 | 8.29 | 2.74% |
| Total Noncovered | 36.8305 | | 337.89 | | 301.53 | -36.36 | 8.29 | |
| 01/07/22 | 16,441.0000 | 10.0000 | 164,412.00 | 8.1870 | 134,602.47 | -29,809.53 | 3,699.22 | 2.74% |
| 02/25/22 | 41.4592 | 8.9230 | 369.92 | 8.1870 | 339.43 | -30.49 | 9.33 | 2.74% |
| 04/25/22 | 35.5426 | 9.2490 | 328.73 | 8.1870 | 290.99 | -37.74 | 8.00 | 2.74% |
| 05/25/22 | 37.9275 | 8.5550 | 324.47 | 8.1870 | 310.51 | -13.96 | 8.53 | 2.74% |





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## Portfolio Holdings *(continued)*

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **OTHER INVESTMENTS** *(continued)* | | | | | | | | |
| **Unit Investment Trusts** *(continued)* | | | | | | | | |
| ADVISORS DISCIPLINED TR UNIT 2102 DIVID *(continued)* | | | | | | | | |
| 06/27/22 | 39.8564 | 8.1600 | 325.22 | 8.1870 | 326.30 | 1.08 | 8.96 | 2.74% |
| Total Covered | 16,595.7857 | | 165,760.34 | | 135,869.70 | -29,890.64 | 3,734.04 | |
| Total | 16,632.6162 | | $166,098.23 | | $136,171.23 | -$29,927.00 | $3,742.33 | |
| ADVISORS DISCIPLINED TR UNIT 2021 DIVID STRENGTH PORTFOLIO SER 2020-3 A HARTFORD INVT MGMT CO HIMCO PORTF RIV | | | Security Identifier: 00780N196 | | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 07/25/21*,3 | 13.0000 | 13.2680 | 172.49 | 12.2240 | 158.92 | -13.57 | 4.23 | 2.66% |
| 03/25/22* | 0.0271 | 13.6530 | 0.37 | 12.2240 | 0.33 | -0.04 | 0.01 | 2.66% |
| Total Noncovered | 13.0271 | | 172.86 | | 159.25 | -13.61 | 4.24 | |
| 08/25/21 | 0.0256 | 13.6720 | 0.35 | 12.2240 | 0.31 | -0.04 | 0.01 | 2.66% |
| 09/27/21 | 0.0260 | 13.4620 | 0.35 | 12.2240 | 0.32 | -0.03 | 0.01 | 2.66% |
| 10/25/21 | 0.0261 | 13.7930 | 0.36 | 12.2240 | 0.32 | -0.04 | 0.01 | 2.66% |
| 11/26/21 | 0.0257 | 14.0080 | 0.36 | 12.2240 | 0.31 | -0.05 | 0.01 | 2.66% |
| 12/27/21 | 0.0256 | 14.0630 | 0.36 | 12.2240 | 0.31 | -0.05 | 0.01 | 2.66% |
| 01/07/22 | 0.0552 | 14.3120 | 0.79 | 12.2240 | 0.67 | -0.12 | 0.02 | 2.66% |
| 01/25/22 | 0.0265 | 13.5850 | 0.36 | 12.2240 | 0.32 | -0.04 | 0.01 | 2.66% |
| 02/25/22 | 0.0283 | 13.0740 | 0.37 | 12.2240 | 0.35 | -0.02 | 0.01 | 2.66% |
| 04/25/22 | 0.0259 | 13.9000 | 0.36 | 12.2240 | 0.32 | -0.04 | 0.01 | 2.66% |
| 05/25/22 | 0.0290 | 12.7590 | 0.37 | 12.2240 | 0.36 | -0.01 | 0.01 | 2.66% |
| Total Covered | 0.2939 | | 4.03 | | 3.59 | -0.44 | 0.09 | |
| Total | 13.3210 | | $176.89 | | $162.84 | -$14.05 | $4.33 | |
| ADVISORS DISCIPLINED TR UNIT 2037 DIVID STRENGTH PORT SER 2020-4 HARTFORD INVT MGMT CO HIMCO PORT CASH | | | Security Identifier: 00780Q181 | | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 12/04/20*,3 | 6,594.0000 | 10.9040 | 71,903.32 | 11.4410 | 75,441.95 | 3,538.63 | 1,957.75 | 2.59% |
| FT UNIT 9882 CAPITAL STRENGTH BUY-WRITE PORT SER 61 SEMI-ANNUAL CASH | | | Security Identifier: 30323X260 | | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 01/13/22 | 10,000.0000 | 9.7680 | 97,680.00 | 8.1600 | 81,600.00 | -16,080.00 | 887.00 | 1.08% |



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## Portfolio Holdings *(continued)*

| Date Acquired | Quantity | Unit Cost | Current Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **OTHER INVESTMENTS** *(continued)* | | | | | | | | |
| **Unit Investment Trusts** *(continued)* | | | | | | | | |
| INVESCO UNIT TRS UNIT 2162 CLOSED END STRATEGY COVERED CALL INCOME PORT 2021-4 QRTLY CASH | | | | Security Identifier: 46149P109 | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 11/01/21 | 9,454.0000 | 10.4710 | 98,993.89 | 8.2180 | 77,692.97 | -21,300.92 | 5,797.19 | 7.46% |
| **Total Unit Investment Trusts** | | | $434,852.33 | | $371,068.99 | -$63,783.34 | $12,388.60 | |
| **TOTAL OTHER INVESTMENTS** | | | **$434,852.33** | | **$371,068.99** | **-$63,783.34** | **$12,388.60** | |

| | Current Cost Basis | Market Value | Unrealized Gain/Loss | Accrued Interest | Estimated Annual Income |
|---|---|---|---|---|---|
| **Total Portfolio Holdings** | $1,467,283.26 | $1,463,977.75 | -$3,305.51 | $0.00 | $38,683.59 |

* *Noncovered under the cost basis rules as defined below.*

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

[3] *The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.*

## Portfolio Holdings Disclosures

### Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.
THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

### Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

### Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may



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## Portfolio Holdings Disclosures *(continued)*

### Reinvestment *(continued)*

have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Activity Summary *(All amounts shown are in base currency)*

| | Credits This Period | Debits This Period | Net This Period | Credits Year-to-Date | Debits Year-to-Date | Net Year-to-Date |
|---|---|---|---|---|---|---|
| **Securities** | | | | | | |
| Securities Bought | 0.00 | -325.22 | -325.22 | 0.00 | -461,200.25 | -461,200.25 |
| Securities Sold | 0.00 | 0.00 | 0.00 | 287,670.40 | 0.00 | 287,670.40 |
| **Total Securities** | **$0.00** | **-$325.22** | **-$325.22** | **$287,670.40** | **-$461,200.25** | **-$173,529.85** |
| **Dividends and Interest** | **$5,202.88** | **$0.00** | **$5,202.88** | **$21,553.44** | **$0.00** | **$21,553.44** |
| **Distributions** | **$13,917.50** | **$0.00** | **$13,917.50** | **$180,966.85** | **$0.00** | **$180,966.85** |
| **Cash** | | | | | | |
| Withdrawals | 0.00 | 0.00 | 0.00 | 0.00 | -30,000.00 | -30,000.00 |

## Activity Summary *(continued)*

| | Credits This Period | Debits This Period | Net This Period | Credits Year-to-Date | Debits Year-to-Date | Net Year-to-Date |
|---|---|---|---|---|---|---|
| **Total Cash** | $0.00 | $0.00 | $0.00 | $0.00 | -$30,000.00 | -$30,000.00 |
| **Totals** | $19,120.38 | -$325.22 | $18,795.16 | $490,190.69 | -$491,200.25 | -$1,009.56 |

## Transactions in Date Sequence

| Process/ Settlement Date | Trade/ Transaction Date | Activity Type | Description | Quantity | Price | Accrued Interest | Amount | Currency |
|---|---|---|---|---|---|---|---|---|
| 06/01/22 | | CASH DIVIDEND RECEIVED LALDX | LORD ABBETT SHORT DURATION INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 05/31/22 | | | | 923.05 | USD |
| 06/15/22 | | CASH DIVIDEND RECEIVED QRTEP | 900 SHRS QURATE RETAIL INC CUM RED PFD SER A 8% RD 05/31 PD 06/15/22 | | | | 1,800.00 | USD |
| 06/16/22 | | LONG TERM CAPITAL GAIN DISTRIBUTION AMCPX | AMCAP FUND CLASS A 2148.8520 SHRS RD 06/14 PD 06/15/22 | | | | 4,871.02 | USD |
| 06/16/22 | | CASH DIVIDEND RECEIVED AMRMX | AMERICAN MUTUAL FUND CLASS A 1281.8870 SHRS RD 06/14 PD 06/15/22 | | | | 262.79 | USD |
| 06/16/22 | | LONG TERM CAPITAL GAIN DISTRIBUTION ANCFX | FUNDAMENTAL INVESTORS CLASS A 1359.8830 SHRS RD 06/14 PD 06/15/22 | | | | 1,637.30 | USD |
| 06/16/22 | | CASH DIVIDEND RECEIVED ANCFX | FUNDAMENTAL INVESTORS CLASS A 1359.8830 SHRS RD 06/14 PD 06/15/22 | | | | 231.18 | USD |
| 06/16/22 | | LONG TERM CAPITAL GAIN DISTRIBUTION AIVSX | THE INVESTMENT COMPANY OF AMERICA CLASS A 1313.8840 SHRS RD 06/14 PD 06/15/22 | | | | 750.88 | USD |
| 06/16/22 | | CASH DIVIDEND RECEIVED AIVSX | THE INVESTMENT COMPANY OF AMERICA CLASS A 1313.8840 SHRS RD 06/14 PD 06/15/22 | | | | 203.65 | USD |
| 06/16/22 | | LONG TERM CAPITAL GAIN DISTRIBUTION AWSHX | WASHINGTON MUTUAL INVESTORS FUND CLASS A 4259.2280 SHRS RD 06/14 PD 06/15/22 | | | | 6,483.40 | USD |
| 06/16/22 | | CASH DIVIDEND RECEIVED AWSHX | WASHINGTON MUTUAL INVESTORS FUND CLASS A 4259.2280 SHRS RD 06/14 PD 06/15/22 | | | | 873.14 | USD |
| 06/27/22 | 06/25/22 | REINVEST CASH INCOME 00777T271 | ADVISORS DISCIPLINED TR UNIT 2102 DIVID STRENGTH PORT SER 2022-1 - A HARTFORD INVT MGMT CO HIMCO PORT REINVEST PURCHD @ $8.15980 RD 06/10 PD 06/25/22 | 39.8564 | | | -325.22 | USD |





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## Transactions in Date Sequence *(continued)*

| Process/ Settlement Date | Trade/ Transaction Date | Activity Type | Description | Quantity | Price | Accrued Interest | Amount | Currency |
|---|---|---|---|---|---|---|---|---|
| 06/27/22 | | CASH DIVIDEND RECEIVED 00777T271 | 16592.7598 SHRS ADVISORS DISCIPLINED TR UNIT 2102 DIVID STRENGTH PORT SER 2022-1 - A HARTFORD INVT MGMT CO HIMCO PORT REINVEST RD 06/10 PD 06/25/22 | | | | 325.22 | USD |
| 06/27/22 | | CASH DIVIDEND RECEIVED 00780Q181 | 6594 SHRS ADVISORS DISCIPLINED TR UNIT 2037 DIVID STRENGTH PORT SER 2020-4 HARTFORD INVT MGMT CO HIMCO PORT CASH RD 06/10 PD 06/25/22 | | | | 167.49 | USD |
| 06/27/22 | | CASH DIVIDEND RECEIVED 30323X260 | 10000 SHRS FT UNIT 9882 CAPITAL STRENGTH BUY-WRITE PORT SER 61 SEMI-ANNUAL CASH RD 06/10 PD 06/25/22 | | | | 407.00 | USD |
| 06/27/22 | | RETURN OF PRINCIPAL RECEIVED 46149P109 | 9454 SHRS INVESCO UNIT TRS UNIT 2162 CLOSED END STRATEGY COVERED CALL INCOME PORT 2021-4 QRTLY CASH RD 06/10 PD 06/25/22 | | | | 174.90 | USD |
| 06/30/22 | | MONEY MARKET FUND INCOME RECEIVED PER980569 | FEDERATED HERMES CAP | | | | 9.36 | USD |
| **Total Value of Transactions** | | | | | | **$0.00** | **$18,795.16** | **USD** |

The price and quantity displayed may have been rounded.

## Income and Expense Summary

| | Current Period | | Year-to-Date | |
|---|---|---|---|---|
| | Taxable | Non Taxable | Taxable | Non Taxable |
| **Dividend Income** | | | | |
| Equities | 1,800.00 | 0.00 | 5,300.00 | 0.00 |
| Money Market | 9.36 | 0.00 | 10.48 | 0.00 |
| Other Dividends | 3,393.52 | 0.00 | 16,242.96 | 0.00 |
| **Total Income** | **$5,202.88** | **$0.00** | **$21,553.44** | **$0.00** |
| **Distributions** | | | | |
| Long - Term Capital Gain Distributions | 13,742.60 | 0.00 | 13,742.60 | 0.00 |
| Other Distributions | 0.00 | 174.90 | 0.00 | 3,500.92 |
| **Total Distributions** | **$13,742.60** | **$174.90** | **$13,742.60** | **$3,500.92** |



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## Schedule of Realized Gains and Losses Year-to-Date

| Description | Date Disposed | Date Acquired | Designation | Disposition Method / Transaction | Quantity | Proceeds | Cost Basis | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Short Term** | | | | | | | | |
| ADVISORS DISCIPLINED | 01/10/22 | 01/25/21 | Noncovered* | First In First Out / REDEMPTION | 26.000 | 326.40 | 268.71 | 57.69 |
| 981 DIVID STRENGTH PORT SER 20 | 01/10/22 | 02/25/21 | Noncovered* | First In First Out / REDEMPTION | 26.000 | 326.40 | 271.22 | 55.18 |
| 20-1 A HARTFORD INVT MGMT CO H | 01/10/22 | 03/25/21 | Noncovered* | First In First Out / REDEMPTION | 25.000 | 313.85 | 268.93 | 44.92 |
| Security Identifier: 00780D651 | 01/10/22 | 04/25/21 | Noncovered* | First In First Out / REDEMPTION | 24.000 | 301.29 | 271.21 | 30.08 |
| | 01/10/22 | 05/25/21 | Noncovered* | First In First Out / REDEMPTION | 24.000 | 301.29 | 277.90 | 23.39 |
| | 01/10/22 | 06/25/21 | Noncovered* | First In First Out / REDEMPTION | 24.000 | 301.29 | 273.73 | 27.56 |
| | 01/10/22 | 07/25/21 | Noncovered* | First In First Out / REDEMPTION | 24.000 | 301.29 | 278.62 | 22.67 |
| | 01/10/22 | 08/25/21 | Covered | First In First Out / REDEMPTION | 23.811 | 298.92 | 286.08 | 12.84 |
| | 01/10/22 | 09/27/21 | Covered | First In First Out / REDEMPTION | 24.466 | 307.14 | 286.61 | 20.53 |
| | 01/10/22 | 10/25/21 | Covered | First In First Out / REDEMPTION | 24.373 | 305.99 | 293.65 | 12.34 |
| | 01/10/22 | 11/26/21 | Covered | First In First Out / REDEMPTION | 23.982 | 301.06 | 294.20 | 6.86 |
| Total | | | | | 269.629 | 3,384.92 | 3,070.86 | 314.06 |
| LORD ABBETT SHORT DU | 05/09/22 | 07/20/21 | Covered | First In First Out / SELL | 25,379.422 | 99,982.00 | 106,594.09 | -6,612.09 |
| OME FUND CLASS A | | | | | | | | |
| Security Identifier: 543916100 | | | | | | | | |
| LORD ABBETT BOND-DEB | 01/13/22 | 01/29/21 | Noncovered*,13 | First In First Out / SELL | 30.280 | 250.11 | 254.96 | -4.85 |
| D CLASS A | 01/13/22 | 02/26/21 | Noncovered*,13 | First In First Out / SELL | 32.204 | 266.01 | 273.09 | -7.08 |
| Security Identifier: 544004104 | 01/13/22 | 03/31/21 | Noncovered*,13 | First In First Out / SELL | 31.153 | 257.32 | 262.00 | -4.68 |
| | 01/13/22 | 04/30/21 | Noncovered*,13 | First In First Out / SELL | 31.533 | 260.46 | 267.40 | -6.94 |
| | 01/13/22 | 05/28/21 | Noncovered*,13 | First In First Out / SELL | 33.228 | 274.46 | 281.44 | -6.98 |
| | 01/13/22 | 06/30/21 | Noncovered*,13 | First In First Out / SELL | 32.392 | 267.56 | 275.98 | -8.42 |
| | 01/13/22 | 07/30/21 | Covered | First In First Out / SELL | 17.532 | 144.81 | 149.37 | 0.00 |
| | | | | Adjustment: -4.56 Adjustment Type: W | | | | |
| | 01/13/22 | 08/31/21 | Covered | First In First Out / SELL | 31.056 | 256.52 | 265.22 | 0.00 |
| | | | | Adjustment: -8.70 Adjustment Type: W | | | | |
| | 01/13/22 | 09/30/21 | Covered | First In First Out / SELL | 31.382 | 259.22 | 265.49 | -1.52 |
| | | | | Adjustment: -4.75 Adjustment Type: W | | | | |
| | 01/13/22 | 10/29/21 | Covered | First In First Out / SELL | 31.004 | 256.09 | 263.22 | -7.13 |
| | 01/13/22 | 11/30/21 | Covered | First In First Out / SELL | 33.127 | 273.63 | 278.93 | -5.30 |
| | 01/13/22 | 12/17/21 | Covered | First In First Out / SELL | 17.532 | 144.81 | 150.77 | -5.96 |
| | | | | 167 day(s) added to your holding period as a result of a wash sale. | | | | |
| | 01/13/22 | 12/17/21 | Covered | First In First Out / SELL | 23.795 | 196.55 | 205.12 | -8.57 |
| | | | | 135 day(s) added to your holding period as a result of a wash sale. | | | | |
| | 01/13/22 | 12/31/21 | Covered | First In First Out / SELL | 7.261 | 59.97 | 62.81 | -2.84 |
| | | | | 135 day(s) added to your holding period as a result of a wash sale. | | | | |
| | 01/13/22 | 12/31/21 | Covered | First In First Out / SELL | 23.783 | 196.44 | 203.82 | -7.38 |
| | | | | 105 day(s) added to your holding period as a result of a wash sale. | | | | |
| Total | | | | | 407.262 | 3,363.96 | 3,459.62 | -77.65 |





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## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Description | Date Disposed | Date Acquired | Designation | Disposition Method / Transaction | Quantity | Proceeds | Cost Basis | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Short Term** *(continued)* | | | | | | | | |
| NUVEEN STRATEGIC INC | 01/13/22 | 02/01/21 | Noncovered*,13 | First In First Out / SELL | 55.215 | 607.92 | 620.06 | -12.14 |
| LASS A | 01/13/22 | 03/01/21 | Noncovered*,13 | First In First Out / SELL | 49.776 | 548.03 | 555.00 | -6.97 |
| Security Identifier: 670678366 | 01/13/22 | 04/01/21 | Noncovered*,13 | First In First Out / SELL | 50.339 | 554.23 | 556.25 | -2.02 |
| | 01/13/22 | 05/03/21 | Noncovered*,13 | First In First Out / SELL | 50.001 | 550.51 | 557.51 | -7.00 |
| | 01/13/22 | 06/01/21 | Noncovered*,13 | First In First Out / SELL | 50.023 | 550.75 | 558.76 | -8.01 |
| | 01/13/22 | 07/01/21 | Noncovered*,13 | First In First Out / SELL | 49.867 | 549.04 | 560.01 | -10.97 |
| | 01/13/22 | 07/30/21 | Covered | First In First Out / SELL | 25.697 | 282.92 | 289.60 | -1.24 |
| | | | | Adjustment: -5.44 Adjustment Type: W | | | | |
| | 01/13/22 | 08/31/21 | Covered | First In First Out / SELL | 49.870 | 549.07 | 562.03 | -12.96 |
| | 01/13/22 | 09/30/21 | Covered | First In First Out / SELL | 50.277 | 553.55 | 563.10 | -9.55 |
| | 01/13/22 | 10/29/21 | Covered | First In First Out / SELL | 47.556 | 523.59 | 529.77 | -6.18 |
| | 01/13/22 | 11/30/21 | Covered | First In First Out / SELL | 20.978 | 230.97 | 231.60 | -0.63 |
| | 01/13/22 | 12/31/21 | Covered | First In First Out / SELL | 20.937 | 230.51 | 237.62 | -7.11 |
| | | | | 167 day(s) added to your holding period as a result of a wash sale. | | | | |
| Total | | | | | 520.536 | 5,731.09 | 5,821.31 | -84.78 |
| **Total Short Term** | | | | | | 112,461.97 | 118,945.88 | -6,460.46 |
| **Long Term** | | | | | | | | |
| ADVISORS DISCIPLINED | 01/10/22 | 04/06/20 | Noncovered* | First In First Out / REDEMPTION | 12,502.000 | 156,948.86 | 99,997.00 | 56,951.86 |
| 981 DIVID STRENGTH PORT SER 20 | 01/10/22 | 04/25/20 | Noncovered* | First In First Out / REDEMPTION | 34.000 | 426.83 | 271.53 | 155.30 |
| 20-1 A HARTFORD INVT MGMT CO H | 01/10/22 | 05/25/20 | Noncovered* | First In First Out / REDEMPTION | 33.000 | 414.28 | 271.96 | 142.32 |
| Security Identifier: 00780D651 | 01/10/22 | 06/25/20 | Noncovered* | First In First Out / REDEMPTION | 31.000 | 389.17 | 268.43 | 120.74 |
| | 01/10/22 | 07/25/20 | Noncovered* | First In First Out / REDEMPTION | 29.000 | 364.06 | 258.94 | 105.12 |
| | 01/10/22 | 08/25/20 | Noncovered* | First In First Out / REDEMPTION | 29.000 | 364.06 | 264.39 | 99.67 |
| | 01/10/22 | 09/25/20 | Noncovered* | First In First Out / REDEMPTION | 30.000 | 376.62 | 264.42 | 112.20 |
| | 01/10/22 | 10/25/20 | Noncovered* | First In First Out / REDEMPTION | 29.000 | 364.06 | 269.99 | 94.07 |
| | 01/10/22 | 11/25/20 | Noncovered* | First In First Out / REDEMPTION | 28.000 | 351.51 | 272.11 | 79.40 |
| | 01/10/22 | 12/25/20 | Noncovered* | First In First Out / REDEMPTION | 27.000 | 338.96 | 268.20 | 70.76 |
| Total | | | | | 12,772.000 | 160,338.41 | 102,406.97 | 57,931.44 |

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Description | Date Disposed | Date Acquired | Designation | Disposition Method / Transaction | Quantity | Proceeds | Cost Basis | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| LORD ABBETT BOND-DEB | 01/13/22 | 04/12/19 | Noncovered*,13 | First In First Out / SELL | 9,351.621 | 77,244.39 | 75,003.50 | 2,240.89 |
| D CLASS A | 01/13/22 | 04/30/19 | Noncovered*,13 | First In First Out / SELL | 16.267 | 134.37 | 129.16 | 5.21 |
| Security Identifier: 544004104 | 01/13/22 | 05/31/19 | Noncovered*,13 | First In First Out / SELL | 32.344 | 267.16 | 255.52 | 11.64 |
| | 01/13/22 | 06/28/19 | Noncovered*,13 | First In First Out / SELL | 31.812 | 262.77 | 257.36 | 5.41 |
| | 01/13/22 | 06/28/19 | Noncovered*,13 | First In First Out / SELL | 1,159.951 | 9,581.20 | 9,503.50 | 77.70 |
| | 01/13/22 | 07/31/19 | Noncovered*,13 | First In First Out / SELL | 34.968 | 288.84 | 283.59 | 5.25 |
| | 01/13/22 | 08/30/19 | Noncovered*,13 | First In First Out / SELL | 35.165 | 290.46 | 285.54 | 4.92 |
| | 01/13/22 | 09/30/19 | Noncovered*,13 | First In First Out / SELL | 34.605 | 285.84 | 278.92 | 6.92 |
| | 01/13/22 | 10/31/19 | Noncovered*,13 | First In First Out / SELL | 34.207 | 282.55 | 275.71 | 6.84 |
| | 01/13/22 | 11/29/19 | Noncovered*,13 | First In First Out / SELL | 33.847 | 279.58 | 272.13 | 7.45 |
| | 01/13/22 | 12/31/19 | Noncovered*,13 | First In First Out / SELL | 32.998 | 272.56 | 268.27 | 4.29 |
| | 01/13/22 | 01/31/20 | Noncovered*,13 | First In First Out / SELL | 34.226 | 282.71 | 280.31 | 2.40 |
| | 01/13/22 | 02/28/20 | Noncovered*,13 | First In First Out / SELL | 33.919 | 280.17 | 275.42 | 4.75 |
| | 01/13/22 | 03/31/20 | Noncovered*,13 | First In First Out / SELL | 42.612 | 351.98 | 302.12 | 49.86 |
| | 01/13/22 | 04/30/20 | Noncovered*,13 | First In First Out / SELL | 42.569 | 351.62 | 312.88 | 38.74 |
| | 01/13/22 | 05/29/20 | Noncovered*,13 | First In First Out / SELL | 40.373 | 333.48 | 307.64 | 25.84 |
| | 01/13/22 | 06/30/20 | Noncovered*,13 | First In First Out / SELL | 38.326 | 316.57 | 295.88 | 20.69 |
| | 01/13/22 | 07/31/20 | Noncovered*,13 | First In First Out / SELL | 35.338 | 291.89 | 282.70 | 9.19 |
| | 01/13/22 | 08/31/20 | Noncovered*,13 | First In First Out / SELL | 34.962 | 288.79 | 283.19 | 5.60 |
| | 01/13/22 | 09/30/20 | Noncovered*,13 | First In First Out / SELL | 34.387 | 284.04 | 274.75 | 9.29 |
| | 01/13/22 | 10/30/20 | Noncovered*,13 | First In First Out / SELL | 33.157 | 273.88 | 265.59 | 8.29 |
| | 01/13/22 | 11/30/20 | Noncovered*,13 | First In First Out / SELL | 33.041 | 272.92 | 273.91 | -0.99 |
| | 01/13/22 | 12/31/20 | Noncovered*,13 | First In First Out / SELL | 30.435 | 251.39 | 255.96 | -4.57 |
| Total | | | | | 11,231.130 | 92,769.16 | 90,223.55 | 2,545.61 |
| NUVEEN STRATEGIC INC | 01/13/22 | 01/10/20 | Noncovered*,13 | First In First Out / SELL | 4,024.431 | 44,308.98 | 45,276.88 | -967.90 |
| LASS A | 01/13/22 | 02/03/20 | Noncovered*,13 | First In First Out / SELL | 43.612 | 480.17 | 477.99 | 2.18 |
| Security Identifier: 670678366 | 01/13/22 | 03/02/20 | Noncovered*,13 | First In First Out / SELL | 55.405 | 610.01 | 607.79 | 2.22 |
| | 01/13/22 | 04/01/20 | Noncovered*,13 | First In First Out / SELL | 61.454 | 676.61 | 609.62 | 66.99 |
| | 01/13/22 | 05/01/20 | Noncovered*,13 | First In First Out / SELL | 59.730 | 657.63 | 611.64 | 45.99 |
| | 01/13/22 | 06/01/20 | Noncovered*,13 | First In First Out / SELL | 58.439 | 643.41 | 613.61 | 29.80 |
| | 01/13/22 | 07/01/20 | Noncovered*,13 | First In First Out / SELL | 57.581 | 633.97 | 615.54 | 18.43 |
| | 01/13/22 | 07/10/20 | Noncovered*,13 | First In First Out / SELL | 3,079.710 | 33,907.61 | 34,003.50 | -95.89 |
| | 01/13/22 | 08/03/20 | Noncovered*,13 | First In First Out / SELL | 61.478 | 676.87 | 673.18 | 3.69 |
| | 01/13/22 | 09/01/20 | Noncovered*,13 | First In First Out / SELL | 60.643 | 667.68 | 666.47 | 1.21 |
| | 01/13/22 | 10/01/20 | Noncovered*,13 | First In First Out / SELL | 61.090 | 672.60 | 668.32 | 4.28 |
| | 01/13/22 | 11/02/20 | Noncovered*,13 | First In First Out / SELL | 61.373 | 675.72 | 670.19 | 5.53 |
| | 01/13/22 | 12/01/20 | Noncovered*,13 | First In First Out / SELL | 55.235 | 608.14 | 616.97 | -8.83 |
| | 01/13/22 | 12/31/20 | Noncovered*,13 | First In First Out / SELL | 54.931 | 604.79 | 618.52 | -13.73 |
| Total | | | | | 7,795.112 | 85,824.19 | 86,730.22 | -906.03 |





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## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Description | Date Disposed | Date Acquired | Designation | Disposition Method / Transaction | Quantity | Proceeds | Cost Basis | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Total Long Term** | | | | | | 338,931.76 | 279,360.74 | 59,571.02 |
| **Total Short and Long Term** | | | | | | **451,393.73** | **398,306.62** | **53,110.56** |

* *Noncovered under the cost basis rules as defined below.*

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

[13] *The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.*

[W] *Wash Sales Loss - This adjustment is the amount for a disallowed wash sale loss.*

Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.



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## Money Market Fund Detail

| Date | Activity Type | Description | Amount | Balance |
|---|---|---|---|---|
| **Sweep Money Market Fund** | | | | |
| **FEDERATED HERMES CAP RESERVES** | | | | |
| Current Yield: 0.65% Activity Ending: 06/30/22 | | | | |
| 06/01/22 | Opening Balance | | 16,707.48 | 16,707.48 |
| 06/02/22 | Deposit | MONEY FUND PURCHASE | 923.05 | 17,630.53 |
| 06/16/22 | Deposit | MONEY FUND PURCHASE | 1,800.00 | 19,430.53 |
| 06/17/22 | Deposit | MONEY FUND PURCHASE | 15,313.36 | 34,743.89 |
| 06/28/22 | Deposit | MONEY FUND PURCHASE | 749.39 | 35,493.28 |
| 06/30/22 | Deposit | INCOME REINVEST | 9.36 | 35,502.64 |
| 06/30/22 | **Closing Balance** | | | $35,502.64 |
| **Total All Money Market Funds** | | | | **$35,502.64** |

## Messages

Although a money market mutual fund (money fund) seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money fund. Shares of a money fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly. Balances in Federal Deposit Insurance Corporation (FDIC)-insured bank deposit sweep products are not protected by Securities Investor Protection Corporation (SIPC).

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To enroll, log in to your brokerage account and look for the one-click eDelivery pop-up or simply select 'Go Paperless' at the top of any page and follow the on-screen prompts for set-up. Enrollment can be activated as quickly as the day you register, and you will be notified when documents are available in an online, password-protected portal.

Contact your financial professional if you have any questions about any of our efficient digital tools.

PERSHING LLC ANNUAL DISCLOSURE OF IMPORTANT INFORMATION

BEST EXECUTION

## Messages (continued)

Pershing selects certain market centers for routing non- directed orders that provide automated execution of substantially all electronically transmitted orders in OTC and exchange-listed equity securities. In the case of U.S, listed options Pershing selects certain wholesale brokers for routing non directed orders that provide access to option exchange price improvement auctions. The designated market centers and wholesale brokers to which orders are routed are selected based on the following:

- The consistent high quality of their executions in one or more market segments
- Their ability to provide opportunities for executions at prices superior to the national best bid of offer (NBBO)
- Service, technology reliability, accessibility speed of execution
- Cost and counterparty credit worthiness

Pershing regularly reviews reports for execution quality and other factors.

**STOP ORDER ELECTION/TRIGGER**

Equity odd-lot sales count toward consolidated and participant exchange volumes, but do not update the last-sale, open, close, high, or low price. Since odd-lot executions are not last-sale eligible, they will not trigger non-directed stop, stop-limit or trailing-stop orders routed to Pershing for execution.

**PERSHING'S IMPARTIAL LOTTERY PROCESS: PARTIAL CALLS**

Information about Pershing's impartial lottery process can be found at pershing.com/disclosures. A printed copy of this information is available by calling (888) 367-2563, option 3 then option 5.

When a security is subject to a partial redemption, Pershing has procedures to treat you fairly. When an issuer initiates a partial call of securities, the depository holding such securities (typically the Depository Trust and Clearing Corporation, or DTCC) conducts an impartial, computerized lottery using an incremental random number technique to determine the allocation of called securities to participants for which it holds securities on deposit (including Pershing). Because DTCC's lottery is random and impartial, participants may or may not receive an allocation of securities selected for redemption.

When Pershing is notified that it received an allocation of called securities, Pershing conducts a similar, computer-generated random lottery. The lottery determines the accounts that will be selected and the number of securities in the account that will be redeemed. Allocations are based on the number of trading units held in the account. The probability of any trading unit held by an account being selected as called in a partial call is proportional to the total number of trading units held through Pershing.

Once the lottery is complete, Pershing notifies your firm which accounts have received an allocation. Securities registered in the client's name, either in transit or held in custody, are excluded from the Pershing lottery process.

Pershing initiates the lottery process by identifying the accounts holding the called security, the total par value of the called securities held, and the trading unit of the security. For example (unit of trade = $25,000):

| Client Account | Par Value | Number of Trading Units |
|---|---|---|
| ABC-123234 | $100,000 | 4 |



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| | | |
|---|---|---|
| DEF-325465 | $75,000 | 3 |
| EDR-567433 | $150,000 | 6 |
| EGT-876574 | $50,000 | 2 |
| EGT-888345 | $25,000 | 1 |
| FRT-435234 | $25,000 | 1 |
| FRT-658797 | $75,000 | 3 |

In brief, the allocation process involves the following steps:

- The number of trading units held in each account is identified.
- A sequential number is assigned to each trading unit (e.g., account EDR-567433 would be assigned six numbers).
- A random number is generated that will result in one of these trading units being the first unit in the selection process.
- Thereafter, the trading units participating in the allocation are based on an incremental random number technique until the number of trading units allocated to Pershing is exhausted.

**Additional Information**

- The allocation of called securities is not made on a pro-rata basis. Therefore, it is possible that a client may receive a full or partial redemption of shares held. Conversely, it is also possible that a client may not have any securities selected for redemption.
- When a partial call is deemed favorable to the holders of the called security, Pershing will exclude certain accounts from the lottery. Excluded accounts will include Pershing's proprietary and employee accounts, as well as proprietary and employee accounts of your firm (if Pershing carries and clears those accounts). No allocation will be made to these proprietary and employee accounts until all other client positions at Pershing in such securities have been called. When a partial call is deemed unfavorable to holders of the called security, Pershing will not exclude any accounts from the lottery.
- If the partial call is made at a price above the current market price as captured in Pershing's price reporting system, Pershing will generally categorize the partial call as one that is favorable to the holders of such security. If the partial call is made at a price that is equal to or below the current market price of the security as captured in Pershing's price reporting system, Pershing will generally categorize that call as one that is unfavorable to holders of the security.
- Clients have the right to withdraw uncalled, fully paid securities from Pershing at any time prior to the cut-off date and time established by the issuer, transfer agent and/or depository with respect to the partial call. Clients also have the right to withdraw excess margin securities, provided that the client account is not subject to restriction under Regulation T or that such withdrawal will not cause an under-margined condition.
- Impartial lottery is conducted based on settled positions as of the close of business the day prior to the publication date.

A copy of the full annual disclosure, including information on revenue sharing, can be found on our website at pershing.com/disclosures/annual.pdf

*Please be advised that beginning March 1, 2022, Pershing will be implementing a change to client accounts governed by a margin agreement. Under the new process, eligible settled long positions will be maintained in a client's margin account type. Accounts that are not governed by a margin agreement will not be affected by this change.*

*Please contact your financial organization if you wish to learn more about this change.*





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## Important Information and Disclosures

**The Role of Pershing**

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

**Important Arbitration Disclosures**

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

**Important Arbitration Agreement**

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or

**Important Arbitration Agreement** *(continued)*

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

## TERMS AND CONDITIONS

**TRANSACTIONS**

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

**FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

**DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

**MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

**TAX INFORMATION**

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

**GENERAL INFORMATION**

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

**PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))**

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

**BEST EXECUTION:** NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOIR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

**WRAP ACCOUNT CUSTOMERS WHO ELECTED NOT TO RECEIVE IMMEDIATE CONFIRMATION OF TRANSACTIONS**

THE FOLLOWING TERMS AND CONDITIONS ARE APPLICABLE ONLY IF YOUR ACCOUNT IS AN INVESTMENT ADVISORY ACCOUNT AND THE TRANSACTION CONFIRMATIONS ARE NOT SENT TO YOU (SENT ONLY TO YOUR FIDUCIARY) PURSUANT TO YOUR INSTRUCTION:

**WRAP ACCOUNT TRANSACTIONS**

- THE FOLLOWING INFORMATION WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR FINANCIAL INSTITUTION ("INTRODUCING FIRM") WITH RESPECT TO ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU: THE MARKET UPON WHICH ANY TRANSACTION WAS EXECUTED; THE TIME OF DAY THAT ANY TRANSACTION WAS EXECUTED; THE NAME OF THE PERSON FROM WHOM ANY SECURITY WAS PURCHASED OR TO WHOM SUCH SECURITY WAS SOLD; THE SOURCE AND AMOUNT OF OTHER COMMISSIONS RECEIVED IN CONNECTION WITH ANY TRANSACTION; AND A COPY OF THE TRANSACTION CONFIRMATION.
- YOUR INTRODUCING FIRM EFFECTED EACH TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU AS YOUR AGENT.
- IF ANY TRANSACTION INVOLVES AN ASSET-BACKED SECURITY, INCLUDING A MUNICIPAL COLLATERALIZED MORTGAGE OBLIGATION, WHICH REPRESENTS AN INTEREST IN OR IS SECURED BY A POOL OF RECEIVABLES OR OTHER FINANCIAL ASSETS THAT ARE SUBJECT CONTINUOUSLY TO PREPAYMENT, THEN THE ACTUAL YIELD OF SUCH SECURITY MAY VARY ACCORDING TO THE RATE AT WHICH THE UNDERLYING RECEIVABLES OR OTHER FINANCIAL ASSETS ARE PREPAID. INFORMATION CONCERNING THE FACTORS THAT AFFECT YIELD (INCLUDING AT A MINIMUM ESTIMATED YIELD, WEIGHTED AVERAGE LIFE, AND THE PREPAYMENT ASSUMPTIONS OF UNDERLYING YIELD) WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR INTRODUCING FIRM.

**WRAP ACCOUNT ORDER FLOW:** YOUR INTRODUCING FIRM DOES NOT RECEIVE ANY PAYMENT FOR ORDER FLOW FOR ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU.

**WRAP ACCOUNT CALL FEATURES:** CALL FEATURES MAY EXIST FOR SECURITIES. CALL FEATURES FOR FIXED INCOME SECURITIES MAY AFFECT YIELD. COMPLETE INFORMATION WILL BE PROVIDED UPON REQUEST.

**WRAP ACCOUNT RATINGS:** THE RATINGS THAT APPEAR IN THE DESCRIPTION OF SOME FIXED INCOME SECURITIES HAVE BEEN OBTAINED FROM RATINGS SERVICES WHICH PERSHING BELIEVES TO BE RELIABLE; HOWEVER, PERSHING CANNOT GUARANTEE THEIR ACCURACY. SECURITIES FOR WHICH A RATING IS NOT AVAILABLE ARE MARKED "UNRATED".

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT





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# Town of Morris

## Transaction Summary

## Fiscal Year Ending June 30, 2022

| Company/Account Transaction | Date | 6/30/2021 Value | Withdrawals | Deposits | Net Investment | 6/30/2022 Value | Unrealized Profit / Loss |
|---|---|---|---|---|---|---|---|
| ***Pension Plan:*** | | | | | | | |
| ***Town of Morris*** | | | | | | | |
| **Cambridge Investment Research, Inc.** | | | | | | | |
| ***Beginning Value*** | **6/30/2021** | **$1,716,532.50** | | | | | |
| *Account Transferred In Full / In Kind to:* | | | | | | | |
| **Infinex Investments** | | | | | | | |
| *Check Disbursement* | 3/31/2022 | | $30,000.00 | | | | |
| | | **$1,716,532.50** | $30,000.00 | $0.00 | $1,686,532.50 | **$1,463,977.75** | *($222,554.75)* |
| ***Beginning Value*** | | **$1,716,532.50** | | | | | |
| ***Total Distributions*** | | | **$30,000.00** | | | | |
| ***Total Additions*** | | | | **$0.00** | | | |
| ***Total Net Investments*** | | | | | **$1,686,532.50** | | |
| ***Total Current Value*** | | | | | | **$1,463,977.75** | |
| ***Total Profit/Loss*** *(Realized & Unrealized)* | | | | | | | *($222,554.75)* |